

| AA RENEWAL QUESTIONNAIRE – DISCLOSURE STATEMENT | |
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| Audit Firm Name: | |
| Shareholder/s Name: | |
| Director/s Name: | |
| Has there been any change in ownership, key personnel and/or lead auditor since last approval? If yes, please declare and amend the UBOs and/or appointed Lead Auditor on the KYC Form | |

| Kindly complete the following statement in relation to the audit firm as well as for each partner/member/ employee of the audit firm. Should you answer any question with “yes”, please provide explanation on a separate sheet. | | | |
|---|---|------------|-----------|
| | | YES | NO |
| 1. | Has any director, partner or entity associated with a director or partner gone into insolvent liquidation since the date of this renewal? | | |
| 2. | Has any director, partner or entity associated with a director or partner been refused the right to have a trade / business / profession which requires a license / registration or other authority? | | |
| 3. | Has any entity associated with a director or partner been fined or penalized by any government body or any self-regulatory organisation or professional body? | | |
| 4. | Has any director or partner received any disciplinary action from a government body or any self-regulatory organisation or professional body? | | |
| 5. | Has any director, partner or entity associated with a director or partner been accused for misconduct or malpractice by any individual/corporate body? | | |
| 6. | Has any director, partner or entity associated with a director or partner breached any Financial Services Legislations or Code of Practice of a Financial Services Regulator or other supervisory body? | | |
| 7. | Has any director or partner been convicted or found guilty for fraud, misconduct of any offense by any court of jurisdiction? | | |

The person signing this statement has all the necessary authority to enter into the statement for and on behalf of the audit firm.

Audit Partner _____

Signature: _____ **Date:** _____